



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A61K 38/00	A1	(11) International Publication Number: WO 96/40192 (43) International Publication Date: 19 December 1996 (19.12.96)
(21) International Application Number: PCT/US96/09607 (22) International Filing Date: 7 June 1996 (07.06.96) (30) Priority Data: 08/478,207 7 June 1995 (07.06.95) US 08/486,186 7 June 1995 (07.06.95) US (60) Parent Application or Grant (63) Related by Continuation US Not furnished (CIP) Filed on Not furnished (71) Applicant (for all designated States except US): AVMAX, INC. [US/US]; 31 Acacia Avenue, Belvedere, CA 94920 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): BENET, Leslie, Z. [US/US]; 53 Beach Road, Belvedere, CA 94920 (US). WACHER, Vincent, J. [AU/US]; Apartment 419, 180 Brannon Street, San Francisco, CA 94107 (US). BENET, Reed, M. [US/US]; 31 Acacia Avenue, Belvedere, CA 94920 (US).		(74) Agents: SACHDEVA, Gurjeev, K. et al.; Cooley Godward Castro Huddleson & Tatum, Five Palo Alto Square, 3000 El Camino Real, Palo Alto, CA 94306-2155 (US). (81) Designated States: CA, JP, US, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>
(54) Title: USE OF ESSENTIAL OILS TO INCREASE BIOAVAILABILITY OF ORAL PHARMACEUTICAL COMPOUNDS		
(57) Abstract A method for increasing bioavailability of an orally administered hydrophobic pharmaceutical compound, which comprises orally administering the pharmaceutical compound to a mammal in need of treatment with the compound concurrently with an essential oil or essential oil component in an amount sufficient to provide bioavailability of the compound in the presence of the essential oil or essential oil component greater than bioavailability of the compound in the absence of the essential oil or essential oil component, wherein the essential oil or essential oil component has an activity of at least 10% inhibition at a concentration 0.01 wt.% or less in an assay that measures reduced conversion of cyclosporine to hydroxylated products using an assay system containing 250 µg rat liver microsomes, 1 µM cyclosporine and 1 mM reduced nicotinamide adenine dinucleotide phosphate (NADPH) in 1 ml of 0.1 M sodium phosphate buffer, pH 7.4.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TF	Togo
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

USE OF ESSENTIAL OILS TO INCREASE BIOAVAILABILITY OF ORAL PHARMACEUTICAL COMPOUNDS

INTRODUCTION

5 Technical Field

This invention is directed to the field of pharmacology and particularly to the formulation of oral pharmaceutical compositions for increased bioavailability and reduced inter- and intra-individual variability.

10 Background

Pharmacokinetics is the study of the fate of pharmaceuticals from the time they are ingested until they are eliminated from the body. The sequence of events for an oral composition includes absorption through the various mucosal surfaces, distribution via the blood stream to various tissues, biotransformation in the liver and other tissues, action at the target site, and elimination of drug or metabolites in urine or bile.

Bioavailability of a drug (pharmaceutical composition) following oral dosing is a critical pharmacokinetic determinant which can be approximated by the following formula:

$$20 \quad F_{\text{oral}} = F_{\text{ABS}} \times F_{\text{G}} \times F_{\text{H}}$$

F_{oral} is oral bioavailability fraction, which is the fraction of the oral dose that reaches the circulation in an active, unchanged form. F_{oral} is less than 100% of the active ingredient in the oral dose for four reasons: (1) drug is not absorbed out of the gut lumen into the cells of the intestine and is eliminated in the feces; (2) drug is absorbed into the cells of the intestine but back-transported into the gut lumen; (3) drug is biotransformed by the cells of the intestine (to an inactive metabolite); or (4) drug is eliminated by the cells of the liver, either by biotransformation and/or by transport into the bile. Thus, oral bioavailability is the product of the fraction of the oral dose that is absorbed (F_{ABS}), the fraction of the absorbed dose that successfully reaches the blood side of the gastrointestinal tract (F_{G}), and the fraction of the drug in the GI blood supply that reaches the

2.

heart side of the liver (F_H). The extent of gut wall absorption, back transport and metabolism, and liver elimination are all subject to wide inter- and intra-individual variability.

Previous investigations arising in the laboratory or one of the present
inventors resulted in new understandings of factors involved with bioavailability
and in the invention described in U.S. Patent Application Serial No. 08/190,288,
filed 2 February 1994. This application described general methods for increasing
bioavailability of oral pharmaceutical compositions and methods for identifying
compounds that had increased bioavailability. However, although that invention
made it possible to investigate a number of classes of compounds not previously
thought to be useful in enhancing bioavailability, the actual process of identifying
specific classes of compounds that are superior bioenhancers, among those
bioenhancers which work to some degree, still remains a process of investigation
and discovery. Within many classes of substances identified as showing general
bioenhancing effects, there is surprising variance from class member to class
member in the extent of each compound's bioenhancing effect, and some
compounds that would at first thought appear to be enhancers of drug
bioavailability because of their membership in a generally effective class of
compounds, actually are found to be agents that interfere with the bioavailability
of drugs, although the mechanism by which such interference takes place is not yet
known. In some cases, a single compound or small group of compounds has been
found to be particularly potent as a bioenhancer despite resembling in structure
other compounds that have less activity or that even reduce bioavailability.

Accordingly, it is important to identify and confirm the identity of classes
of compounds or individual compounds that are particularly useful for enhancing
bioavailability.

SUMMARY OF THE INVENTION

An object of this invention is to identify compositions with superior ability
to increase drug bioavailability, particularly by increasing net drug absorption
and/or decreasing drug biotransformation in the gut wall by inhibiting cytochrome
P450 drug metabolism and/or P-glycoprotein (P-gp) drug transport.

3.

Another object of the invention is to provide compositions that strongly inhibit enzymes of the cytochrome P450 3A class (CYP3A) in the gut in preference to in other locations, such as the liver, which was previously thought to be the primary site of drug metabolism.

5 A further object of the invention is to provide compositions that strongly inhibit P-gp-controlled back transport to increase the net transport of drugs through the enterocyte layer and cause an increase in the bioavailability of a coadministered drug, since the protein P-gp pumps drugs that have been transported into the cytoplasm of enterocytes back into the lumen of the gut.

10 One specific object of the present invention is to reduce inter-individual variability of the systemic concentrations of the active pharmaceutical compound, as well as intra-individual variability of the systemic concentrations of the pharmaceutical compound being administered.

15 The invention is carried out by co-administering one or more essential oils with an oral pharmaceutical compound (drug) or compounds to increase drug bioavailability. The compositions and methods of the invention can be used to increase drug efficacy in humans and in other mammals. Although veterinary use is specifically contemplated, the primary use will be in human treatment. Administration schemes include, but are not limited to, use of oral and topical
20 formulations in humans and use of similar formulations for livestock.

DESCRIPTION OF SPECIFIC EMBODIMENTS

Essential Oils Increase Drug Bioavailability

25 The present invention arises from continued research into the factors affecting drug bioavailability that were described in an earlier application arising from the laboratory of one of the present inventors. "Drug bioavailability" is defined here as the total amount of drug systemically available over time. The present invention increases drug bioavailability by inhibiting drug
30 biotransformation in the gut and/or by inhibiting active back transport systems in the gut that decrease the net transport of drugs across gut epithelia into the bloodstream. In either case the composition responsible for increased drug

4.

5 bioavailability is an essential oil. For reasons that are not immediately apparent, it has been discovered that essential oils, as a class, are typically capable of inhibiting the appropriate enzyme and/or transport system, despite the chemical differences that exist among the individual compounds that are found in the essential oils.

10 The inventors theorize that the mechanisms for such inhibitory effect may result directly or indirectly from the course of the mammalian evolution. In general, the botanicals in which the essential oils and essential oil components belong have always been the mainstay of the mammalian food supply. To produce an effect, they simply require to be consumed, and they are consumed directly without preparation (although not in the concentrated form of an essential oil or isolated essential oil component). In the course of consumption, related biochemical mechanisms may have evolved for the direct advantage of the mammalian organism or as an indirect result of evolutionary accident or
15 imperative. Thus, while the essential oils and essential oil components evidence chemical differences, the similar source of their origin, the similarity of their location in the mammalian food supply, perhaps the similarity of their direct or indirect effect on the evolution of the mammalian organism, and certainly their similarity in effect on the mammalian organism leads to the reasonable conclusion
20 that essential oils can be considered as a cohesive class of compounds of an importance even beyond what ties them together by technical definition, namely that essential oils are predominately volatile materials or materials isolated by some physical (as opposed to chemical) process from an odorous, single-species botanical source.

25 In general, the present invention provides a method for increasing the organism of an orally administered pharmaceutical compound (particularly one which is hydrophobic) by orally administering the pharmaceutical compound to a mammal in need of treatment concurrently with an essential oil in sufficient amount to provide integrated systemic concentrations over time of the compound
30 greater than the integrated systemic concentrations over time of the compound in the absence of the essential oil. Changes in the integrated systemic concentrations

5.

over time are indicated by "area under the curve" (AUC) measurements, an accepted pharmacological technique described in detail below.

Essential Oils

5 For the purposes of this invention, an essential oil is a predominately volatile material or materials isolated by some physical (as opposed to chemical) process from an odorous, single-species, botanical source. The most widely used process for the isolation of essential oils is steam distillation of plant matter, although dry distillation and solvent extraction are also used. A botanical source
10 is odorous if an odor can be detected by any animal, not just a human; "odorous" thus is simply an indication that some volatile component is present in the plant. The oils extracted by the physical process can contain some non-volatile material, as is well known in the art. Essential oils have been known for centuries in many cases and even millennia, and this term is well known in the art. Essential oils are
15 available commercially (even to the extent of being available if carload lots) because of their common use as flavorings for food. The names used for essential oils in Table 1 below are commonly recognized commercial names.

Since the individual components of the essential oils are the individual compounds that will interact with enzymes and transport proteins in the manner
20 described herein, it is apparent that essential oil components that are sufficiently active will also be useful in the practice of the invention. However, it would be awkward to always refer to "essential oil or essential oil component," so in this application "essential oil" (or "essential oils") refers to both entire essential oils as obtained from plants and to individual components of essential oils, such as those
25 listed in Table 2 below. Where more precision is needed to refer to components of essential oils as distinct from full essential oil mixtures, the components are expressly referred to. When it is appropriate to distinguish essential oils as a class from "essential oils and essential oil components," the phrase "essential oil extract" or "full essential oil" is used if the meaning is not clear from the context.

30 Because some few essential oils and essential oil components are of low activity and thus not likely to be useful for the purposes described generally herein, only those essential oils and essential oil components that have an activity

6.

of at least 10% inhibition at a concentration of 0.01 wt. % or less in an assay that measures reduced conversion of cyclosporine to hydroxylated products using an assay system containing 250 μ g rat liver microsomes, 1 μ M cyclosporine, and 1 mM reduced nicotinamide adenine dinucleotide phosphate (NADPH) in 1 ml of 0.1 M sodium phosphate buffer, pH 7.4, are considered to be within the meaning of "essential oil" and thus within the invention as described herein. Preferred are those full oils and components that show an inhibition of at least 60% at a concentration of 0.01 %; more preferred are those full oils and components that show an inhibition of at least 40% at a concentration of 0.001 %; even more preferred are those full oils and components that show an inhibition of at least 20% at a concentration of 0.0001 %. A detailed description of this assay system is set out in the example below.

A number of essential oils are used for their flavors and odors and are recognized by the Code of Federal Regulations, Title 21, as GRAS (generally recognized as safe) compounds that do not require regulatory agency approval before they are included in ingested materials. See particularly parts 182.20, 182.40, and 182.50 of 21 CFR. Additional essential oils and components of essential oils are identified in 21 CFR 172.510 and 172.515 as having been used previously in foods, although they are not on the GRAS list. A number of important essential oils are set out in Table 1 below. In this and similar tables showing inhibition, inhibition is measured from the solvent baseline (usually ethanol or other solvents as described below). The reason for higher variability of results on repeats for lower concentrations of oils is unknown, but may be due to evaporation of components when only small amounts are present.

TABLE 1: ESSENTIAL OILS

CAS#	CFR#	Name	Latin name	0.01%	SD	repeat 0.01 (SD)	0.001%	SD	repeat 0.001 (SD)	0.001%	SD	repeat 0.0001 (SD)	0.0001%	SD
8006-71-7	182.20	Allspice Berry	Pimenta officinalis L.	100	0		74	2		32	2			
		Amber Essence		54	1									
84775-42-8	182.20	Anise Seed	Pimpinella anisum L.	91	10		29	5						
68990-11-4	172.510	Arnica (20%)	Arnica spp.	35	3									
8007-00-9	182.20	Balm of Peru	Myroxylon pereirae Klotzsch	obscured			6	3						
8015-73-4	182.20	Basil	Ocimum basilicum L.	91	2		59	1						
91721-75-4	182.20	Bay Leaf (Myrcia)	Pimenta acris Kostel	91.3	0.5		57.9	0.5						
9000-05-9	172.510	Benzoin Gum	Syrax spp.	100	0		100	0		40	2	22 (3); -6 (13)		
8007-75-8	182.20	Bergamot	Citrus aurantium L. subsp. bergamia Wright et Arn.	99	2		46.4	0.2						
8015-77-8	182.20	Bois de Rose (Rosewood)	Aniba roseacodora Ducke	94.9	0.2	100 (0)	53	3						
8008-98-8	172.510	Cajuput	Melaleuca spp.	91.5	0.4		56	4						
	182.20	Calendula (Marigold pot)	Calendula officinalis L.	19	6									
8008-51-3	172.510	Camphor, White	Cinnamomum camphora L. Nees et Eberm. (Safrole free)	89	1	87 (3)	45	5						
8000-42-8	182.20	Caraway Seed	Carum carvi L.	92	4		59	1						
8000-66-6	182.20	Cardamon	Elettaria cardamomum (L.) Maton	99	1	100 (0)	64	1		7	6			
8015-88-1	182.20	Carrot Seed	Daucus carota L.	100	0		81.6	0.1		52	1	45 (2); 3 (10)		
68990-83-0	172.510	Cedarwood	Thuja occidentalis L. (Thujone free)	100	0		95	4		56	2	74 (1); 12 (4)		
8015-90-5	182.20	Celery	Apium graveolens L.	91	3		66	3		17	2			
8002-66-2	182.20	Chamomile, German or Hungarian	Matricaria chamomilla L.	98.4	0.2		66	2		17.7	0.4			
8015-92-7	182.20	Chamomile, Roman or English	Anthemis nobilis L.	100	0		69	2		28	4			

CAS#	CFR#	Name	Latin name	0.01%	SD	repeat 0.01 (SD)	0.001%	SD	repeat 0.001 (SD)	0.001%	SD	repeat 0.0001 (SD)	0.0001%	SD
8015-91-6	182.20	Cinnamon	Cinnamomum spp.	78	2		29	3						
8000-29-1	182.20	Citronella	Cymbopogon nardus Rendle	91	1		60	4		-6	5			
8016-63-5	182.20	Clary Sage	Salvia sclarea L.	98	2		34	4						
8000-34-8	184.1257	Clovebud	Eugenia spp.	100	0		84	1		46	4	50.03 (0.05); 6 (3)		
8008-52-4	182.20	Coriander	Coriandrum sativum L.	89	2		30	5						
8014-13-9	182.20	Cumin	Cuminum cyminum L.	100	0		52	5						
8013-86-3		Cypress	Cupressus sempervirens L.	92.3	0.5		6	3						
8000-48-4	172.510	Eucalyptus	Eucalyptus globulus Labille	93	1		65	3		23	1			
8006-84-6	182.20	Fennel	Foeniculum vulgare Mill. var. dulce D.C.	89	3		35	1						
8021-29-2		Fir needle, Siberian	Abies sibirica	92	1		79	10		31	2			
8016-36-2	172.510	Frankincense (Olibanum oil)	Boswellia spp.	97	1		33	1						
8000-78-0	184.1317	Garlic	Allium sativum L.	36	1									
8000-46-2	182.20	Geranium, Rose	Pelargonium graveolens L'Her	92	6		68	3		3.44	0.04			
8007-08-7	182.20	Ginger	Zingiber officinale Rose.	100	0	100 (3)	78	2		23	2			
8016-20-4	182.20	Grapefruit	Citrus paradisi Macf.	88	1		29	4						
8006-83-5	182.20	Hyssop	Hyssopus officinalis L.	98.2	0.1		60	2		14	4			
8022-96-6	182.20	Jasmine Absolute	Jasminum grandiflorum L.	95	2		48.4	0.5						
		Josoba		1	1									
8012-91-7	182.20	Juniper Berry	Juniperus communis L.	96	2		15.9	0.4						
8000-28-0	182.20	Lavender	Lavandula angustifolia	97.8	0.1		49.5	0.6						
8008-56-8	182.20	Lemon	Citrus limon (L.) Burm. f.	62	4		20	2						

CAS#	CFR#	Name	Latin name	0.01%	SD	repeat 0.01 (SD)	0.001%	SD	repeat 0.001 (SD)	0.001%	SD	repeat 0.0001 (SD)	0.00001%	SD
8007-02-1	182.20	Lemongrass	Cymbopogon citratus DC. & Cymbopogon flexuosus	observed			52	3						
8008-26-2	182.20	Lime	Citrus aurantifolia (christman) Swingle	91	1		36	3						
8015-01-8	182.20	Marjoram, sweet	Marjorana hortensis Moench (Origanum marjorana L.)	94	1		54	3						
		Mugwort		98	1		65	3		30	3			
	172.510	Mullein Flower	Verbascum spp.	47	5									
9000-45-7	172.510	Myrrh Gum	Commiphora spp.	100	0		74	3		15	3	13 (1)		
8016-38-4	182.20	Neroli, bigarade	Citrus aurantium L.	98	2		44	4						
8008-45-5	182.20	Nutmeg	Myristica fragrans hout.	92	2	100 (0)	22.3	0.2						
8030-28-2	182.20	Orange, Bitter	Citrus aurantium L.	88	3		23	3						
68606-94-0	182.20	Orange, Sweet	Citrus sinensis (L.) Osbeck	66	5		33	3						
		Oregano	Lippia spp.	99.7	0.4	100 (0)	75	2		30	1			
8014-09-3	172.510	Patchouly	Pogostemon spp.	100	0	99 (3)	100	0		64	4	49 (2); 38 (3)	14	2
8013-99-8	172.510	Peppermint	Mentha pulegium	99	1		57	5						
8006-82-4	182.20	Pepper, Black	Piper nigrum L.	98	2		78.3	0.3		6	3			
8006-90-4	182.20	Peppermint	Mentha piperita L.	98	1	100 (0)	73	3		56	4	47 (1); 41 (2)		
8014-17-3	182.20	Pelletgrain	Citrus aurantium L.	97	1	100 (1)	14	3						
8021-29-2	172.510	Pine Needle	Abies spp.	93	1	100 (0)	57	3						
		Poke Root		9	2									
8007-01-0	182.20	Rose Absolute	Rosa spp.	99	1	100 (0)	40	3						
8007-01-0	182.20	Rosehip Seed	Rosa spp.	60	5		-5	4						
8000-25-7	182.20	Rosemary	Roosmarinus officinalis L.	95.5	0.3	100 (0)	56	2						
8016-64-6	182.20	Sage, Dalmation	Salvia officinalis L.	98	1	100 (0)	36	1						
8006-87-9	172.510	Sandalwood Oil, Mysore	Santalum album L.	100	0		100	0		95	2	79 (2); 91.9 (0.4)	11.9	0.5

CAS#	CFR#	Name	Latin name	0.01 %	SD	repeat 0.01 (SD)	0.001 %	SD	repeat 0.001 (SD)	0.001 %	SD	repeat 0.0001 (SD)	0.000 01 %	SD
8006-80-2		Sassafras	Sassafras albidum (Nutt.) nees	93	2	98 (1)	51	5						
8008-79-5	182.20	Spearmint	Mentha spicata L. (Safrole free)	98	2		62	6		4	2			
8072-22-8		Spikenard		obscured		obscured	obscured							
8008-80-8	172.510	Spruce (Hemlock)	Tsuga and Picea spp.	95.9	0.2		35	2						
8008-31-9	182.20	Tangerine	Citrus reticulata blanco	91.3	0.1	100 (0)	46	3						
68647-73-4		Tea Tree	Melaleuca alternifolia	95.8	0.2	98 (4)	49	4						
8007-20-3	172.510	Thuja (Cedar leaf)	Thuja occidentalis	95	1	100 (0)	66	4		23	4			
8007-46-3	182.20	Thyme	Thymus vulgaris L.	97.3	0.5	100 (0)	38	4						
84650-63-5	182.20	Vanilla Extract	Vanilla spp.	6	2									
8016-96-4	172.510	Vetiver	Vetiveria zizanioides Stapf.	obscured			obscured							
90045-28-6	182.20	Wintergreen	Gaultheria procumbens L.	87	1	100 (0)	9	5						
		Witch Hazel (Hamamelis) Extract		2	6									
8006-81-3	182.20	Ylang Ylang (Cananga) Extract	Cananga odorata Hook f. and Thomas	100	0		83	1		40	3	43 (4);-5 (11)		

TABLE 2: OIL INGREDIENTS

CAS#	CFR#	Name	Other names	0.01 %	SD	repeat 0.01 (SD)	0.001 %	SD	repeat 0.001 (SD)	0.0001 %	SD	repeat 0.0001 (SD)
3016-19-1		Allo Ocimene	2, 6-Dimethyl-2, 4, 6-octatriene	not tested			22	4		6	1	
4180-23-8	182.60	Anethole, trans-	4-Propenylanisole	100	0		53	2				
103-41-3	172.515	Benzyl Cinnamate	Benzyl 3-phenylpropenoic acid	not tested			-45	6		7	2	
464-45-9	172.515	Borneol-(1S)-endo-(+)	1, 7, 7-Trimethylbicyclo [2.2.1] heptan-2-ol	100	0		80	3		20	3	
5794-03-6	172.515	Camphene (+)	2,2-Dimethyl-3-methylenebicyclo[2.2.1]heptane	not tested			12.4	0.9		-49	19	
21368-68-3	172.515	Camphor (+)	1, 7, 7-Trimethylbicyclo [2.2.1] heptan-2-one	87	3		57	4	53 (3)			
404-86-4		Capsaicin	trans-8-Methyl-N-vanillyl-6 nonenamide	not tested			68	2		27	3	
13466-78-9		Carene-3-(Δ)	2, 2, 2, 5-Trimethylbicyclo [4.1.0] hept-5-ene	not tested			34	4		-26	5	
499-75-2	172.515	Carvacrol	5-isoPropyl-2-methylphenol	97	1		93	1		11	1	
99-48-9	172.515	Carveol (-)	p-Mentha-6,8-dien-2-ol	not tested			60	1		2	2	
6485-40-1	182.60	Carvone (R)-(+)	p-Mentha-6,8-dien-2-one	100	0		69	2		27	4	
2244-16-8	182.60	Carvone (S)-(+)	p-Mentha-6,8-dien-2-one	not tested			70.5	0.1		30	2	
87-44-5	172.515	Caryophyllene, trans-(-)	β-Caryophyllene	not tested			85	2		26	3	
14371-10-9	182.60	Cinnamic Aldehyde, trans-	3-Phenylpropenaldehyde	78	1		29.0	0.6				
5392-40-5	182.60	Citral	Mixed geranial and neral	99	1		54	3		44	2	observed
2385-77-5	172.515	Citronellal (R)-(+)	3, 7-Dimethyl-6-octenal	95	4		71	2		30	1	20 (4)
5949-05-3	172.515	Citronellal (S)-(-)	3, 7-Dimethyl-6-octenal	100	0		62	2		-1	1	
106-22-9	172.515	Citronellol (DL) (β)	3, 7-Dimethyl-6-octen-1-ol	95	2		86	1		41	1	35 (4)
140-67-0	172.515	Estragole	4-Allylanisole	100	0		69.2	0.6		7	2	
103-36-6	172.515	Ethyl Cinnamate, trans-	Ethyl 3-phenylpropenoic acid	not tested			-16	8		-13	8	
121-32-4	182.60	Ethyl Vanillin	3-Ethoxy-4-hydroxybenzaldehyde	58	1							
470-82-6	172.515	Eucalyptol	1, 8-Cineole	88	1		64	3		38	1	
97-53-0	184.1257	Eugenol	4-Allyl-2-methoxyphenol	100	0		66	1		9.8	0.4	
106-28-3	172.515	Farnesol, trans-, trans-	3, 7, 11-Trimethyl-2, 6, 10-dodecatrien-1-ol	not tested			82	3		49	4	

CAS#	CFR#	Name	Other names	0.01%	SD	repeat 0.01 (SD)	0.001%	SD	repeat 0.001 (SD)	0.0001%	SD	repeat 0.0001 (SD)
2217-02-9	172.515	Fenchol-(1R)-endo-(+)	1, 3, 3-Trimethylbicyclo [2.2.1] heptan-2-ol	100	0		74	1		18	2	
7787-20-4	172.515	Fenchone-(1R)-(-)	1, 3, 3-Trimethylbicyclo [2.2.1] heptan-2-one	not tested			38	5		14	3	
106-24-1	182.60	Geraniol	trans-3, 7-Dimethyl-2, 6-octadien-1-ol	76	7		66.7	0.5		40	2	25(1);-7 (8)
105-87-3	182.60	Geranyl Acetate	trans-3, 7-Dimethyl-2, 6-octadien-1-yl acetate	73	8		51.5	0.1				
488-10-8	172.515	Jasmone, cis-	3-Methyl-1,2-(2-pentenyl)-2-cyclopenten-1-one	not tested			68	1		16.0	0.4	
5989-27-5	182.60	Limonene-(R)-(+)	p-Mentha-1,8-diene	84	3		20	4				
5989-34-8	182.60	Limonene-(S)-(-)	p-Mentha-1,8-diene	82.3	0.4		30	5				
78-70-6	182.60	Linalool-(±)	3, 7-Dimethyl-1, 6-octadien-3-ol	85	1		72.0	0.1		41	2	-7 (9); -5 (2)
115-95-7	182.60	Linalyl Acetate	3, 7-Dimethyl-1, 6-octadien-3-yl acetate	100	0		55.8	0.7				
15356-70-4	182.20	Menthof-(±)	p-Menthan-3-ol	100	0		79	2		20	4	
14073-97-3	172.515	Menthone-(+)	p-Menthan-3-one	not tested			52	3		14	3	
134-20-3	182.60	Methyl Anthranilate	Methyl 2-aminobenzoate	not tested			23	1		-7	6	
1211-29-6		Methyl Jasmonate-(±)	Methyl 3-oxo-2-(2-pentenyl)-cyclopentane acetate	not tested			65	2		-27	5	
119-36-8		Methyl Salicylate	Methyl 2-hydroxybenzoate	86	1		12	3				
123-35-5	172.515	Myrcene-β	7-Methyl-3-methylene-1, 6-octadiene	not tested			13	2		0	1	
106-25-2	172.515	Nerol	cis-3, 7-dimethyl-2, 6-octadien-1-ol	100	0		81.0	0.6		54	4	37 (2);12 (2)
7785-70-8	172.515	Pinene-(+)-α	2, 6, 6-Trimethylbicyclo [3.1.1] hept-2-ene	not tested			23	2		-9	3	
18172-67-3	172.515	Pinene-(1S)-(-)β	6,6-Dimethyl-2-methylcyclo[3.1.1]heptane	88	3		60	2		-10	1	
89-82-7	172.515	Pulegone-(R)-(+)	p-Menth-4(8)-en-3-one	not tested			31	4		-17	5	
562-74-3		Terpinen-4-ol	p-Menth-1-en-4-ol	100	0		80	2		3.81	0.03	
99-86-5	172.515	Terpinene-α	p-Mentha-1,3-diene	not tested			28	5		-6	5	
10482-56-1	172.515	Terpineol-α	p-Menth-1-en-8-ol	100	0		86	3		13.4	0.2	

CAS#	CFR#	Name	Other names	0.01%	SD	repeat 0.01 (SD)	0.001%	SD	repeat 0.001 (SD)	0.0001%	SD	repeat 0.0001 (SD)
89-83-8	172.515	Thymol	2-isoPropyl-5-methylphenol	100	0		56	2				

TABLE 3: REFERENCE COMPOUNDS

CAS#	CFR#	Name	Other names	%	0.01%	SD	repeat (SD)
64-17-5	184.1293	Ethanol	Ethyl alcohol	1	44	4	42 (4); 49 (4); 45 (8)
64-17-5	184.1293	Ethanol		0.5	33.2	0.2	
		Ketoconazole		0.00005	100	0	
		Ketoconazole		0.00001	42	3	
		Ketoconazole		0.000005	20	3	
		Ketoconazole		0.000001	8	3	

15.

Surprisingly, it has also been discovered that individual components of essential oils tested to date (Table 2) are often not as effective in enhancing bioavailability as the essential oils themselves, which are usually complex mixtures of compounds (usually hydrocarbons and oxygenated hydrocarbons). Thus the essential oils appear to be operating as synergistic compositions, perhaps because of the ability of different components of the oils to inhibit different biological pathways associated with bioavailability. While the inventors do not wish to be bound by speculation, it is possible that minor components present in essential oils of all plants may be involved; it is known for example that many essential oil components are terpene derivatives, and there may be identical or structurally similar minor components present in most if not all plant oils. Thus full essential oils represent one preferred embodiment of the invention.

As is apparent, since use of one essential oil that contains numerous components and is a mixture is within the scope of the present invention, use of a mixture of essential oils (and/or components) will also be within the scope of the invention.

Bioavailability Measurements

The increase in drug bioavailability attributable to administration of the essential oil can be determined by measuring total systemic drug concentrations over time after coadministration of a drug and the essential oil and after administration of only the drug. The increase in drug bioavailability is defined as an increase in the Area Under the Curve (AUC). AUC is the integrated measure of systemic drug concentrations over time in units of mass-time/volume. The AUC from time zero (the time of dosing) to time infinity (when no drug remains in the body) following the administration of a drug dose is a measure of the exposure of the patient to the drug. When efficacy of the essential oil is being measured, the amount and form of active drug administered should be the same in both the coadministration of drug and essential oil and the administration of the drug alone. For instance, administration of 10 mg of drug alone may result in total systemic drug delivered over time (as measured by AUC) of 500 $\mu\text{g}\cdot\text{hr}/\text{ml}$. In coadministration (i.e., in the presence of the essential oil) the systemic drug

16.

AUC may increase to 700 $\mu\text{g}\cdot\text{hr}/\text{ml}$. If significantly increased drug bioavailability in the presence of the essential oil is anticipated, drug doses may need to be reduced for safety.

5 Systemic drug concentrations are measured using standard *in vitro* or *in vivo* drug measurement techniques. "Systemic drug concentration" refers to a drug concentration in a mammal's bodily fluids, such as serum, plasma or blood; the term also includes drug concentrations in tissues bathed by the systemic fluids, including the skin. Systemic drug concentration does not refer to digestive fluids. The increase in total systemic drug concentrations is one way of defining an
10 increase of drug bioavailability due to coadministration of essential oil and drug. For drugs excreted unmetabolized in the urine, an increased amount of unchanged drug in the urine will reflect the increase in systemic concentrations.

Characteristics of Drugs Used With Essential Oils

15 The word "drug" as used herein is defined as a chemical capable of administration to an organism which modifies or alters the organism's physiology. More preferably the word "drug" as used herein is defined as any substance intended for use in the treatment or prevention of disease. Drug includes synthetic and naturally occurring toxins and bioaffecting substances as well as recognized
20 pharmaceuticals, such as those listed in "The Physicians Desk Reference," 49th edition, 1995, pages 101-338; "Goodman and Gilman's The Pharmacological Basis of Therapeutics" 8th Edition (1990), pages 84-1614 and 1655-1715; and "The United States Pharmacopeia, The National Formulary", USP 23 NF 18 (1995), the compounds of these references being herein incorporated by reference. The term
25 drug also includes compounds that have the indicated properties that are not yet discovered or available in the U.S. The term drug includes pro-active, activated and metabolized forms of drugs. The present invention can be used with drugs consisting of charged, uncharged, hydrophilic, zwitter-ionic, or hydrophobic species, as well as any combination of these physical characteristics. A
30 hydrophobic drug is defined as a drug which in its non-ionized form is more soluble in lipid or fat than in water. A preferred class of hydrophobic drugs is those drugs more soluble in octanol than in water.

17.

Compounds (or drugs) from a number of classes of compounds can be administered with an essential oil, for example, but not limited to, the following classes: acetanilides, anilides, aminoquinolines, benzhydryl compounds, benzodiazepines, benzofurans, cannabinoids, cyclic peptides, dibenzazepines, digitalis glycosides, ergot alkaloids, flavonoids, imidazoles, quinolines, macrolides, naphthalenes, opiates (or morphinans), oxazines, oxazoles, phenylalkylamines, piperidines, polycyclic aromatic hydrocarbons, pyrrolidines, pyrrolidinones, stilbenes, sulfonylureas, sulfones, triazoles, tropanes, and vinca alkaloids.

Increased Drug Bioavailability by Inhibition of Cytochrome P450

Phase I Biotransformation

Reduction of enterocyte cytochromes P450 participation in drug biotransformation is one objective of the present invention. The major enzymes involved in drug metabolism are present in the endoplasmic reticulum of many types of cells but are at the highest concentration in hepatocytes. Traditionally, enterocyte biotransformation was considered of minor importance in biotransformation compared to the liver. Many compounds inhibit cytochrome P450. These include, but are not limited to, ketoconazole, troleandomycin, gestodene, flavones such as quercetin and naringenin, erythromycin, ethynyl estradiol, and prednisolone. The first goal of the invention is to use an essential oil to inhibit drug cytochrome P450 biotransformation in the gut to increase drug bioavailability.

Types Of Cytochromes And Tissue Location

The cytochromes P450 are members of a superfamily of hemoproteins. They represent the terminal oxidases of the mixed function oxidase system. The cytochrome P450 gene superfamily is composed of at least 207 genes that have been named based on their evolutionary relationships. For this nomenclature system, the sequences of all of the cytochrome P450 genes are compared, and those cytochromes P450 that share at least 40 % identity are defined as a family (designated by *CYP* followed by a Roman or Arabic numeral, *e.g.* *CYP3*), further divided into subfamilies (designated by a capital letter, *e.g.* *CYP3A*), which are

18.

comprised of those forms that are at least 55% related by their deduced amino acid sequences. Finally, the gene for each individual form of cytochrome P450 is assigned an Arabic number (*e.g.* CYP3A4).

Three cytochrome P450 gene families (CYP1, CYP2 and CYP3) appear to be responsible for most drug metabolism. At least 15 cytochromes P450 have been characterized to varying degrees in the human liver. At concentrations of the substrates found under physiologic conditions, enzyme kinetics often favor a single form of cytochrome P450 as the primary catalyst of the metabolism of a particular drug or other enzyme substrate.

The CYP3 gene family encoding cytochromes P450 of type 3 is possibly the most important family in human drug metabolism. At least 5 forms of cytochrome P450 are found in the human 3A subfamily, and these forms are responsible for the metabolism of a large number of structurally diverse drugs. In non-induced individuals 3A may constitute 15% of the P450 enzymes in the liver. In enterocytes, members of the 3A subfamily constitute greater than 70% of the cytochrome-containing enzymes. The first two human 3A subfamily members identified were 3A3 and 3A4. These two cytochromes P450 are so closely related that the majority of studies performed to date have not been able to distinguish their contributions, and thus they are often referred to as 3A3/4. Erythromycin N-demethylation, cyclosporine oxidation, nifedipine oxidation, midazolam hydroxylation, testosterone 6 β -hydroxylation, and cortisol 6 β -hydroxylation are all *in vitro* probes of 3A3/4 catalytic activity. The levels of 3A3/4 vary by as much as 60-fold between human liver microsomal samples, with the levels of 3A forms approaching 50% of the total cytochrome P450 present in human liver samples from individuals receiving inducers of 3A3/4. The recently studied CYP3A5 may also play a role as important as 3A3/4.

The liver contains many isoforms of cytochrome P450 and can biotransform a large variety of substances. The enterocytes lining the lumen of the intestine also have significant cytochrome P450 activity, and this activity is dominated by a single family of isozymes, 3A, the most important isoforms in drug metabolism.

Increased Drug Efficacy By Reducing CYP3A Drug Biotransformation

19.

Preferred essential oils of the invention reduce drug biotransformation in the gut by inhibiting CYP3A activity in gut epithelial cells which leads to a total increase in drug bioavailability in the serum. In the presence of essential oils, fewer drug molecules will be metabolized by phase I enzymes in the gut and will not be available for phase II conjugation enzymes. This will lead to increased concentrations of untransformed drug passing from the gut into the blood and onto other tissues in the body.

Although the primary objective of the essential oil is to inhibit CYP3A drug biotransformation in the gut, some biotransformation may be decreased in other tissues as well if the essential oil is absorbed into the blood stream. The decrease in biotransformation by other tissues will also increase drug bioavailability. The advantage of targeting a essential oil to the gut, however, is that it allows the use of lower systemic concentrations of essential oil compared to inhibitors that target CYP3A in the liver. After oral administration of an essential oil, concentrations will be highest at the luminal surface of the gut epithelia, not having been diluted by systemic fluids and the tissues of the body. Luminal concentrations that are greater compared to blood concentrations will permit preferential inhibition of CYP3A in gut instead of the liver. Essential oils that preferentially inhibit gut CYP3A will also be a particularly effective means of increasing drug bioavailability while minimizing the effects of greater concentrations of essential oils in tissues other than the gut.

Coadministration of an essential oil will also reduce variability of oral bioavailability. Reduction of drug biotransformation or increased drug absorption will decrease variability of oral bioavailability to some degree because the increase in bioavailability will begin to approach the theoretical maximum of 100% oral bioavailability. The increase in oral bioavailability will be generally larger in subjects with lower oral bioavailability. The result is a reduction in inter-individual and intra-individual variation. Addition of essential oil will reduce inter-individual and intra-individual variation of systemic concentrations of a drug or compound.

A Net Increase in Drug Bioavailability Due to a Decrease in the Activity of CYP3A.

20.

The catalytic activities of CYP3A that are subject to inhibition include, but are not limited to, dealkylase, oxidase, and hydrolase activities. In addition to the different catalytic activities of CYP3A, different forms of CYP3A exist with a range in molecular weight (for example, from 51 kD to 54 kD, as shown in Komori et al., *J. Biochem.* 1988, 104:912-16).

Some essential oils reduce CYP3A drug biotransformation by acting either as an inhibitor of CYP3A activity or as a substrate of CYP3A activity. The essential oil acting either as the inhibitor or the substrate of CYP3A (or a component of the essential oil) can act as a competitive, non-competitive, uncompetitive, mixed or irreversible inhibitor of CYP3A drug biotransformation. Additionally, the essential oil can have properties of being a ligand for P-gp or cytochrome P450 or a ligand for either protein.

Selection of Essential Oils by Reduction of CYP3A Drug Biotransformation

The relative ability of compounds to act as bioenhancers and to increase drug bioavailability can be estimated using *in vitro* and *in vivo* drug biotransformation measurements. *In vivo* measurements of drug bioavailability, such as measuring serum or blood drug concentrations over time, provide the closest measure of total drug systemic availability (bioavailability). *In vitro* assays of CYP3A metabolism and P-gp-transport, as discussed below, indirectly indicate drug bioavailability because CYP3A drug metabolism and P-gp drug transport affect integrated systemic drug concentrations over time. Generally, the ability of a compound being tested to act as an essential oil is demonstrated when the addition of the oil to a drug biotransformation assay decreases CYP3A drug biotransformation. Although even a minimally measured increase is all that is required for an essential oil to be useful, a preferred commercially desirable essential oil acting as a CYP3A modulator generally will increase drug bioavailability by at least 10%, preferably by at least 50%, and more preferably by at least 75% of the difference between bioavailability in the presence of the essential oil and total availability of the ingested dosage in the absence of the essential oil. A sufficient amount of orally administered essential oil will provide integrated systemic drug concentrations over time greater than the integrated systemic drug concentrations over time in the absence of essential oil. The actual

21.

amount of essential oil to be included in a pharmaceutical composition will vary with the oil and the active ingredient being protected. The amount of the essential oil will generally be sufficient to provide a concentration in the gut (and/or volume, depending on the desired effect) of from 0.00001 wt. % to 0.01 wt. %.

5 Examples of individual essential oils and appropriate concentrations for an effective dosage are set forth in Table 1. Such amounts will generally be effective, although optimization of the pharmaceutical composition to provide maximum bioavailability should be carried out using the AUC methods described herein, once the components for a particular pharmaceutical composition have
10 been decided upon.

Essential oils that are particularly good inhibitors of enzymes of the P450 3A class can be identified by a variety of bioassays, several of which are set out below.

15 *In vitro* CYP3A Assays and Increased Drug Bioavailability

Cell Assays of CYP3A Function and Increased Drug Bioavailability

Cultured cells of either hepatocytes or enterocytes or freshly prepared cells from either liver or gut can be used to determine the activity of an essential oil as a CYP3A inhibitor. Various methods of gut epithelial cell isolation can be used
20 such as the method of Watkins et al., *J. Clin. Invest.* 1985; 80:1029-36. Cultured cells, as described in Schmiedlin-Ren et al., *Biochem. Pharmacol.* 1993; 46:905-918, can also be used. The production of CYP3A metabolites in cells can be measured using high pressure liquid chromatograph (HPLC) methods as described in the following section for microsome assays of CYP3A activity.

25 **Microsome Assays of CYP3A Function and Increased Bioavailability**

Microsomes from hepatocytes or enterocytes will be used for assays of CYP3A activity. Microsomes can be prepared from liver using conventional methods as discussed in Kronbach et al., *Clin. Pharmacol. Ther* 1988; 43:630-5. Alternatively, microsomes can be prepared from isolated enterocytes using the
30 method of Watkins et al., *J. Clin. Invest.* 1987; 80:1029-1037. Microsomes from gut epithelial cells can also be prepared using calcium precipitation as described in Bonkovsky et al., *Gastroenterology* 1985; 88:458-467. Microsomes can be

incubated with drugs and the metabolites monitored as a function of time. In addition the levels of these enzymes in tissue samples can be measured using radioimmunoassays or western blots. Additionally, the production of metabolites can be monitored using high pressure liquid chromatography systems (HPLC) and identified based on retention times. CYP3A activity can also be assayed by calorimetrically measuring erythromycin demethylase activity as the production of formaldehyde as in Wrighton et al., *Mol. Pharmacol.* 1985; 28:312-321 and Nash, *Biochem. J.* 1953; 55:416-421.

Characteristics of Essential oils that Reduce CYP3A Drug Metabolism

Preferred essential oil(s) will bind CYP3A quickly and inhibit while the drug is passing through the enterocyte. After the essential oils reach the heart and are distributed throughout the body the concentrations of the essential oils will be diluted on future passes through the liver. Concentrations of essential oil used in the gut lumen are preferably selected to be effective on gut CYP3A metabolism but, due to dilution, to be less active in other tissues.

The amount of essential oil used for oral administration can be selected to achieve small intestine luminal concentrations of at least 1/10 of the K_i for CYP3A inhibition of drug metabolism or an amount sufficient to increase systemic drug concentration levels, whichever is less. Alternatively, the amount of an inhibitor of cytochrome P450 3A enzyme that will be used in a formulation can be calculated by various assays that are described in detail below. For example, one such assay measures the conversion of cyclosporine to hydroxylated products in an assay system containing 100 μ g human liver microsomes, 25 μ M cyclosporine, and an NADPH regenerating system in 100 μ l of 0.1 M sodium phosphate buffer, pH 7.4. The initial inhibitor amount is selected to provide concentrations in the lumen of the small intestine equal or greater than concentrations that reduce the rate of conversion determined by this assay, preferably a rate reduction of at least 10%. While the actual dose of inhibitor in a clinical formulation might be optimized from this initial dosage depending on the results of a clinical trial, the assay as described is sufficient to establish a utilitarian dosage level.

Increased Drug Bioavailability by Inhibition of P-glycoprotein (P-gp)**Increased Drug Absorption By Decreasing P-gp Drug Transport**

Essential oils can further increase bioavailability by increasing net drug absorption in the gut. An essential oil will reduce P-gp active drug transport in order to increase the net transport of drugs across the gut epithelium. Epithelia exist in a number of different tissue types including, but not limited to, the epithelia of the skin, liver, kidneys, adrenals, intestine, and colon. Such epithelia would also be affected by systemic administration of P-gp inhibitors, but the major effects of the oils will be limited to the gut because of concentration effects resulting from oral delivery.

Because of the many different compounds present in essential oils as well as the many different classes of active pharmaceutical compounds with which they can be used, the oral dosage of both oil and active ingredient present in the formulation (or otherwise as described below) is best determined empirically, as the dosage will depend on the affinity of the inhibitor for P-gp relative to the drug's affinity for P-gp. There are a number of assays available that allow the desired dosage to be readily determined without requiring clinical trials. While the actual dosage of inhibitor in a clinical formulation might be optimized from this initial dosage depending on results of a clinical trial, the assay as described is sufficient to establish a utilitarian dosage level.

Selection of Essential Oils by Reduction of P-gp Drug Transport/Activity

The relative ability of essential oils and to increase drug bioavailability can be estimated using *in vitro* and *in vivo* drug transport measurements. Preferred essential oils will cause a net increase in drug diffusion resulting from a decrease in active P-gp drug transport activity. The activity of P-gp can be measured either as ATP-dependent membrane transport of a drug or as drug-dependent ATP hydrolysis. P-gp activity or drug flux can be measured using *in vitro* or *in vivo* techniques using, but not limited to, voltage-sensitive electrodes or dyes, chemical-sensitive electrodes or dyes, substrate or product analysis, electron microscopy, or coupled assays. The apparent molecular weight of P-gp used in the assay will vary depending on the species, isoform, amount of glycosylation, and molecular

24.

weight assay method. Typically, the molecular weight of the P-gp will be approximately 170 kilodaltons.

The essential oil (or one or more of its components), acting as either the inhibitor or the substrate of P-gp, acts as a competitive, uncompetitive, non-competitive, mixed or irreversible inhibitor of P-gp drug transport. The essential oil, as an inhibitor or substrate of P-gp, can be either a transportable or non-transportable ligand of P-gp. The essential oil (or component) can bind to the P-gp on its lumen accessible surface, cytoplasmic accessible surface or membrane spanning region. The essential oil can be a ligand of P-gp, a ligand of cytochrome P450, or a ligand of both, or any combination of the three types of ligands. For example an essential oil can comprise a ligand of P-gp plus a ligand of cytochrome P450 or a ligand of P-gp plus a ligand that binds to both P-gp and cytochrome P450.

Characteristics of essential oils that reduce P-gp drug transport

When an essential oil is used in sufficient amount, the activity of P-gp will be reduced; in particular P-gp drug transport back into the intestinal lumen will be reduced. Sufficient amounts would include amounts necessary to increase integrated systemic concentrations over time of the drug used in conjunction with the essential oil. The concentration of essential oil required to produce a sufficient amount of essential oil for inhibition of P-gp drug transport varies with the delivery vehicle used for the essential oil and the drug. The luminal concentration of the essential oil should be related to the drug's and essential oil's relative affinities for P-gp and the drug concentration used. As the affinity of drug for P-gp increases, the required concentration of the appropriate essential oil will increase. Most essential oils of commercial application will decrease P-gp drug transport by at least 10%, more preferably by at least 50%, and even more preferably by at least 75%.

In vitro P-gp Assays for Bioavailability

Any bioassay that determines whether a given composition inhibits P-gp transport can be used to identify preferred essential oils. A number of such assays are set out below.

Everted Gut Assays

Everted intestine can be prepared by methods known in the art Hsing *et al.* Gastroenterology 1992; 102:879-85). In these studies rat small intestines turned "inside out" (i.e. the mucosal (or luminal) surface turned outside and the serosal surface inside) are bathed in a drug containing solution with and without the addition of the essential oil. The serosal surface of the small intestine is bathed in a solution that is periodically monitored or changed for the purpose of drug or essential oil measurement. For instance the everted rat small intestines can be bathed in a physiological saline solution loaded with Rhodamine 123 (Rh123) and the flux of Rh 123 monitored into the serosal solution. The addition of a essential oil in this set-up will increase Rh 123 transport into the serosal solution. An increase in drug or Rh 123 bioavailability will be determined as follows:

$$\frac{X(100)}{Y}$$

where Y is the initial rate of Rh 123 transport, and X is the initial rate of rhodamine transport in the presence of an essential oil. The initial rates will be determined as a linear relationship between time and Rh 123 concentration in the luminal solution. Alternatively, the serosal side of rat small intestines is bathed with the drug or essential oil of interest and the mucosal solution is monitored, as described in Hsing *et al.* (1992).

Selection of a P-gp Inhibitor Based on Cell Growth Assays

This assay can be used to select particularly preferred essential oils. Cells cultured with cytotoxic agents that are known P-gp transport substrates will be grown as controls in the absence of either drug or essential oil. The $appK_i$ (apparent inhibition constant) for cell growth by drugs will be determined by varying the drug concentration in the culture medium. The $appK_i$ will be expressed as the concentration of drug required to produce 50% inhibition of cell growth. Cells will also be grown in the presence of drug and essential oil. The

26.

essential oil will act to shift the $appK_i$ to lower drug concentrations necessary for inhibition of cell growth. Cells with MDR can be used in this assay as described in Hait et al., *Biochemical Pharmacology* 1993, 45:401-406. The method sections of Hait et al. (1993) are herein incorporated by reference. Preferred essential oils will decrease the $appK_i$ for a drug by at least 2 times, more preferably by at least 3 times, and even more preferably by at least 6 times at a non-toxic dosage.

Rhodamine (Rh 123) Cellular Assay of P-gp Drug Transport and Drug Bioavailability

Rh 123 can be used in a cellular assay to monitor the bioavailability of drugs. Rh 123 transported by P-gp in this system acts as a drug, where P-gp pumps the Rh 123 out of the cell. Single cells or a population of cells can be monitored for the Rh 123 fluorescence which is indicative of P-gp transport. The cell types used will contain a P-gp transporter from a MDR strain such as those listed in Nielsen and Skovsgaard, *Biochimica et Biophysica Acta* 1993; 1139:169-183 and herein incorporated by reference. Cells are loaded with Rh 123 in the presence of 15 nanograms per ml to 500 nanograms per ml of Rh 123 in a physiologically compatible buffer such as 3-N-morpholinopropanesulfonic acid (MOPS) with the suitable concentrations of sodium, potassium, and calcium chloride and an energy source. The cells are loaded with Rh 123 for 30 - 60 minutes depending on the temperature (37° or room temperature). The loaded cells are then washed and resuspended in buffer free of Rh 123. The efflux of Rh 123 can be determined using a fluorimeter. In the absence of any essential oil Rh 123 will be pumped out of the cell due to the action of P-gp, leading to a reduced amount of Rh 123 fluorescence from the cell.

Addition of a P-gp substrate or inhibitor either by preincubation after the cells have been washed with Rh 123 free buffer or during the efflux of Rh 123 from the cell will cause retention of Rh 123 within the cell. Retention of Rh 123 in the cell will be caused by the addition of an essential oil. Increased drug bioavailability is defined as the increase in Rh 123 retention within the cell. Compounds that increase Rh 123 retention are essential oils.

Rh 123 retention in the absence of an essential oil will be determined by total Rh 123 cell fluorescence minus background Rh 123 cell fluorescence. An

27.

increase in drug bioavailability due to the addition of the essential oil will be the percentage increase in Rh 123 fluorescence retention as described by:

$$\frac{X(100)}{Y}$$

5 where X equals Rh 123 fluorescence in the presence of the essential oil minus the background Rh 123 fluorescence and Y equals the Rh 123 fluorescence in the absence of the essential oil minus the background Rh 123 fluorescence.

10 The background Rh 123 fluorescence can be measured in a variety of ways including, but not limited to, the residual amount of Rh 123 fluorescence at the end of the experiment, the residual amount of Rh 123 fluorescence remaining based on an extrapolation of first order rate kinetics describing the efflux of Rh 123 from the cell, the residual amount of Rh 123 fluorescence in the presence of a sufficient amount of membrane detergents such as triton or digitonin, or the amount of Rh 123 fluorescence in the presence of a potassium-valinomycin clamp.

15 The addition of both a second drug and an essential oil to the Rh 123 assay will not necessarily cause an increased amount of Rh 123 retention compared to the presence of either the essential oil alone or the second drug alone. This is because Rh 123 retention can already be very high due to the second drug or essential oil concentration. Extra retention due to the addition of either the second
20 drug or the essential oil can be difficult to measure above the signal for Rh 123 in the presence of the second drug or essential oil alone. However, once it has been determined that the drug (or second drug alone) increases Rh 123 fluorescence, i.e. decreases Rh 123 efflux, it can be assumed that the drug (or second drug alone) is transported by the P-gp transport system.

25 **Vesicle Assays of P-gp Activity and Drug Bioavailability**

30 A particularly preferred assay uses brush border membranes. Brush border membrane vesicles are prepared from the small intestine by methods known in the art, such as, Hsing, S. *et al.*, *Gastroenterology* 1992; 102:879-885. The vesicles will be assayed for the presence of P-gp by using monoclonal antibodies directed to P-gp either using SDS page gel electrophoresis and western blotting techniques or using immunochemistry and electromicroscopy. Vesicles containing P-gp will be used for drug transport assays.

Drug transport assays consist of measuring the transport of drugs into the vesicles in an adenosine triphosphate (ATP) dependent fashion. Uptake of the drug in the presence of ATP will be monitored using fluorescence or absorbance techniques, for instance using Rh 123 as the fluorescent drug transported into the interior of the vesicle. Radioactively labeled drugs can also be used to monitor drug transport into the interior of the vesicle using a filter wash system. The addition of ATP will induce the transport of the drug into the vesicle and will increase drug transport compared to passive diffusion of the drug into the vesicle interior. Addition of non-hydrolyzable analogs of ATP such as ATP gamma S or adenosine monophosphate para-nitrophenol (AMP-PNP) will not produce an ATP dependent influx of drug into the vesicle. Thus, the introduction of a non-hydrolyzable nucleotide can be used as a control to monitor whether drug transport has actually occurred due to ATP hydrolysis from the P-gp transport system.

The addition of an essential oil to this assay system using a fluorescent drug or a radioactive drug and monitoring its uptake, will reduce the uptake of the drug into the interior of the vesicle with the addition of ATP. This reduction in drug transport represents an increase of the bioavailability of the drug. The vesicles transporting drugs in an ATP-dependent fashion are oriented with the cystolic face of P-gp accessible to the ATP. It is these vesicles that hydrolyze the ATP and transport the drug into the interior of the vesicle. The interior of the vesicle in turn corresponds to the luminal surface or the apical membrane of the brush border cells. Thus, transport into the lumen of the vesicle or interior of the vesicle corresponds to transport into the lumen of the gut. A decrease in the transport of the lumen of the vesicle is the equivalent of increasing net drug absorption and increasing the drug bioavailability.

P-gp ATPase Assays of P-gp Activity and Drug Bioavailability

P-gp molecules can be isolated in vesicles suitable for measuring ATPase activity. P-gp ATPase activity will be measured in the presence of other types of ATPase inhibitors, such as, but not limited to, sodium potassium ATPase inhibitors (ouabain and vanadate), mitochondrial ATPase inhibitors such as oligomycin, and alkaline phosphatase inhibitors. The ATPase assays will also be conducted in the absence of sodium and potassium to eliminate background sodium

29.

and potassium ATPase activity. ATPase activity will be measured as ATPase activity dependent on the presence of a drug such as daunomycin. ATPase activity will be measured using ATP or hydrolyzable ATP analogs such as para-nitrophenolphosphate. The production of product will be monitored using phosphate assay procedures of those of Yoda, A. and Hokin, L., *Biochem. Biophys. Res. Comm.* 1970; 40:880-886 or by monitoring phosphatase activity as recognized in the literature.

A decrease in P-gp ATPase drug transport activity due to the addition of an essential oil is recognized as an increase in drug bioavailability. P-gp molecules located in the brush border membrane vesicles are oriented so the cytosolic portion of the molecule finds and hydrolyzes ATP. It is these P-gp molecules that will give rise to the drug dependent ATPase activity. An essential oil that is able to inhibit the ATPase activity will be able to compete with the drug for the P-gp transport system. Such essential oils will decrease P-gp drug transport due to their increased ability to inhibit P-gp activity. Preferably, an essential oil will increase drug bioavailability of orally administered drugs by inhibiting gut P450 drug metabolism (preferably CYP3A) or gut P-gp drug transport or both. Preferably, a measure of the increase in drug bioavailability of an orally administered drug is the increase in the AUC of a drug orally administered in the presence of an essential oil compared to the absence of the essential oil, e.g. (Oral drug AUC in the presence of an essential oil) - (Oral Drug AUC). More preferably, the fractional increase in drug bioavailability of a drug orally administered in the presence of an essential oil is the increase in drug bioavailability due to inhibition of gut drug metabolism and preferably not due to the inhibition of non-gut drug metabolism. For example, the fractional increase in oral bioavailability can be calculated as:

(Oral drug AUC in the presence of an essential oil/TV drug AUC in the presence of an essential oil) - (Oral Drug AUC/TV Drug AUC).

"Oral drug AUC" refers to the AUC of an orally administered drug. "IV drug AUC" refers to the AUC of an intravenously administered drug. This calculation is best applied to situations where the essential oil is minimally metabolized by the gut. Preferably, the increase in drug bioavailability (or oral drug bioavailability)

30.

related to the addition of an essential oil is at least 5 %, more preferably at least 10% and most preferably at least 30%.

Another manner of determining the amount of essential oil appropriate for an oral formulation is based on the K_i of the specific inhibitor (for whichever binding is being measured). An appropriate amount of inhibitor is one that is sufficient to produce a concentration of the essential oil in the lumen of the gut of the animal of at least 0.1 times the K_i of the essential oil.

In all of these cases, the goal of selecting a particular concentration is increased bioavailability of the pharmaceutical compound that is being administered. Thus, a desirable goal is to provide integrated systemic concentrations over time of the pharmaceutical compound in the presence of the inhibitor that is greater than the integrated systemic concentrations over time of the pharmaceutical compound in the absence of the inhibitor by at least 10% of the difference between bioavailability in its absence and complete oral bioavailability. Preferred is attaining of "complete bioavailability," which is 100% systemic bioavailability of the administered dosage.

31.

Screening Assay for Superior Essential Oils

In summary, the various techniques described above for screening candidate essential oil compounds for activity levels by assaying for inhibition in the gut of a mammal of activity of a cytochrome P450 enzyme or of transport by P glycoprotein are all generally useful as methods of identifying compounds that are most useful for increasing bioavailability of a drug in a mammal. In all of these assays, the best essential oils are those compounds selected from the candidate compounds being tested that best inhibit either transport or enzymatic destruction (preferably the latter) of a tested drug in the gut of the mammal (either by direct testing *in vivo* or by a test that predicts such activity). When testing for inhibition of activity of a cytochrome enzyme, assays that detect inhibition of members of a cytochrome P450 3A family (for a particular mammal, particularly human) are preferred. Although *in vivo* assays are preferred, because of the direct relationship between the measurement and gut activity, other assays, such as assays for inhibition of cytochrome P450 activity in isolated enterocytes or microsomes obtained from enterocytes of the mammal in question or for inhibition of cytochrome P450 in a tissue or membrane from the gut of said mammal, are still useful as screening assays. The same ordering of preferred screening assays (i.e., *in vivo* being preferred over *in vitro*) is also preferred for screening of inhibition of P-gp transport. Screening by assaying for both inhibitions is preferred, with inhibition of cytochrome P450 activity generally being more important than that of P-gp-mediated transport.

Coadministration and Delivery of Essential oils**Coadministration of an Essential Oil and a Drug**

The present invention will increase the bioavailability of a drug in systemic fluids or tissues by co-administering the essential oil with a drug. "Co-administration" includes concurrent administration (administration of the essential oil and drug at the same time) and time-varied administration (administration of the essential oil at a time different from that of the drug), as long as both the essential oil and the drug are present in the gut lumen and/or membranes during at least partially overlapping times. "Systemic fluids or tissues" refers blood,

32.

plasma, or serum and to other body fluids or tissues in which drug measurements can be obtained.

Delivery Vehicles and Methods

Coadministration can occur with the same delivery vehicle or with different delivery vehicles. The essential oil and the drug can be administered using, as examples, but not limited to, time release matrices, time release coatings, companion ions, and successive oral administrations. Alternatively, the drug and the essential oil can be separately formulated with different coatings possessing different time constants for release of essential oil and drug.

In addition to simply being mixed with the drug being protected in a pharmaceutical composition the essential oils can also include combinations of compounds of different properties. For example, a first compound can act as a P-gp inhibitor while a second compound acts as a CYP3A inhibitor. Essential oils can also be bound to the drug being protected, either by covalent bonding or by ionic or polar attractions.

Essential oils also increase bioavailability when used with epithelia tissues other than the gut. The discussion above of the invention as used in the gut is appropriate for other types of epithelia. For example, CYP 3A enzymes and P-glycoprotein are present in the skin, and essential oils can be used in transdermal formulations to increase drug bioavailability to systemic fluids and tissues. Such applications are part of the invention, since inhibition of CYP 3A enzymes and P-glycoprotein by essential oils in epithelia other than the gut provides the same mechanism of action.

Formulations of Essential oils

The invention is carried out in part by formulating an oral pharmaceutical composition to contain an essential oil. This is accomplished in some embodiments by admixing a pharmaceutical compound, usually a pharmaceutical carrier, and an essential oil, the essential oil being present in an amount sufficient to provide integrated systemic concentrations over time of the compound (as measured by AUC's greater than the integrated systemic concentrations over time of the compound in the absence of the composition) when the pharmaceutical

33.

composition is administered orally to an animal being treated. A pharmaceutical carrier is generally an inert bulk agent added to make the active ingredients easier to handle and can be solid or liquid in the usual manner as is well understood in the art. Pharmaceutical compositions produced by the process described herein are also part of the present invention.

The present invention can also be used to increase the bioavailability of the active compound of an existing oral pharmaceutical composition. When practiced in this manner, the invention is carried out by reformulating the existing composition to provide a reformulated composition by admixing the active compound with an essential oil, the essential oil being present in an amount sufficient to provide integrated systemic concentrations over time of the compound when administered in the reformulated composition greater than the integrated systemic concentrations over time of the compound when administered in the existing pharmaceutical composition. All of the criteria described for new formulations also apply to reformulation of old compositions. In preferred aspects of reformulations, the reformulated composition comprises all components present in the existing pharmaceutical composition plus the essential oil, thus simplifying practice of the invention, although it is also possible to eliminate existing components of formulations because of the increase in bioavailability. Thus, the invention also covers reformulated compositions that contain less than all components present in the existing pharmaceutical composition plus the essential oil. However, this invention does not cover already existing compositions that contain a component which increases bioavailability by mechanisms described in this specification (without knowledge of the mechanisms), should such compositions exist.

Traditional formulations can be used with essential oils. Optimal essential oil doses can be determined by varying the amount and timing of essential oil administration and monitoring bioavailability. Once the optimal essential oil dose is established for a drug, the formulation (essential oil, drug, and other formulation components, if any) is tested clinically to verify the increased bioavailability. In the case of time- or sustained- release formulations, it will be

34.

preferred to establish the optimal essential oil dose using such formulations from the start of the bioavailability experiments.

Many of the essential oils have been used in flavorings under many different circumstances, and it is possible that they have been used as flavorings in pharmaceutical compositions. However, flavorings are used in small quantities, and such materials are not likely to approach even the outer limits of the present invention as defined by the specification and claims. In particular, preferred formulations of the invention contain at least 1% by weight essential oil relative to the total weight of the formulation (including the capsule, if present), more preferably at least 2%, even more preferably at least 5%. In most cases essential oils used as flavorings are used at less than 0.1% of the materials they are being used to flavor. In considering these percentages, it should be recalled that these are percentages of the formulation in which the active ingredient is being presented, not percentages by weight or volume as concentrations in the medium in which the pharmaceutical composition will become dissolved or suspended after ingestion of the formulation (the latter being the % values shown at other locations in this specification, such as in Table 1). Furthermore, since many essential oils as well as many of the essential oil components are liquids, such materials will often be used in capsules (either hard or soft standard pharmaceutical gel capsules, for example). Flavorings have generally not been used with capsules, since the capsule protects the user from any disagreeable flavor or odor resulting from the active pharmaceutical compound or other components of the formulation that are present. Presentation of an essential oil in a pharmaceutical capsule is thus a preferred embodiment of the invention.

Table 4 sets forth a number of exemplary formulations to illustrate the invention. Two essential oils are shown in the formulations, peppermint oil and carrot seed oil, that have similar rates of inhibition (56% for peppermint oil at a concentration of 0.0001% in the assay medium, and 52% for carrot seed oil at the same concentration). A simple calculation based on the % inhibition values of Tables 1 or 2 or on assay data for compounds not listed in the Tables would proved the amount of other inhibitors necessary to provide the same effect. The other ingredients shown in the formulations are standard ingredients used in

35.

pharmaceutical compositions and can be readily substituted by other anti-oxidants, surface active agents (SSA), etc. In Table 4, "alpha tocoph" is alpha-tocopherol, "BHA" is butylated hydroxy anisole, "PVP" is polyvinylpyrrolidone, "Klucel" is hydroxypropyl cellulose, a "bile salt" is cholic acid and/or its sodium salt or a similar salt of a bile acid such as deoxycholic acid or glycholic acid, "Tween" is a commercially available surfactant of the Tween class such as Tween 21 or 81, and "fatty acid" is a naturally occurring fatty acid or a simple (e.g., ethyl) ester thereof.

5

36

TABLE 4: FORMULATION EXAMPLES-CYCLOSPORINE

	A	B	C	D	E	F	G	H
CYCLOSPORINE	100	700	100	100	100	100	100	100
ANTI-OXIDANT ALPHA TOCOPHEROL BHA	180	180	180 0.7	180 0.7	0.7	0.7	0.7	0.7
INHIBITOR PEPPERMINT OIL CARROT SEED OIL	100	100	100 100	100 100	200	200	200	200
ANTINUCLEATING AGENT PVP KLUCEL	175	175	87.5 87.5	87.5 87.5	87.5 87.5	175	175	175
SAA BILE SALT TWEEN	3.5	3.5	3.5	1.75 1.75	1.75 1.75	1.75 1.75	3.5	3.5
SOLVENT ETHYL ALCOHOL FATTY ACID	141.5	141.5	40.8	40.8	220.8	220.8	220.8	220.8
TOTAL WT.	700	700	700	700	700	700	700	700

37.

The invention now being generally described, the same will be better understood by reference to the following detailed example, which is offered for illustration only and is not to be considered limiting of the invention unless otherwise specified.

EXAMPLE

Inhibition of Drug Degradation by Essential Oils

Induction of rat cytochrome P4503A with dexamethasone

Ten male Sprague-Dawley rats (250-300 g; Bantin Kingman) received dexamethasone (100 mg/kg) each day for 4 days by intraperitoneal injection of a dexamethasone suspension in corn oil. On day 5 rats were sacrificed by decapitation, and the livers were excised and perfused with 0.15 M potassium chloride solution until free of blood. Perfused livers were maintained on ice during all subsequent manipulations.

Preparation of rat liver microsomes

Perfused livers were manually homogenized with 0.1 M phosphate buffer (pH 7.4) then the homogenates were centrifuged at 9,000 g for 20 minutes. Supernatants from the initial centrifugation were transferred to ultracentrifuge tubes and centrifuged at 100,000 g for 60 minutes. Supernatants from the ultracentrifugation were discarded, and the residual microsomal pellet was suspended in 0.15 M potassium chloride (approximately 25 ml) and centrifuged at 100,000 g for 30 minutes. The supernatant was again discarded, and the microsomal pellet was suspended in 0.1 M phosphate buffer. Then the protein and cytochrome P450 concentrations of the microsomal suspensions were determined using the methods of Bradford (M. Bradford Anal. Biochem. 72:248 [1976]) and Omura and Sato (T. Omura and R. Sato J. Biol. Chem. 239:2370 [1964]) respectively. Microsomal suspensions were stored at -80 °C prior to use in metabolic incubations.

Microsomal incubations

Rat liver microsomes (250 µg/ml), diethylenetetraminepentaacetic acid (1 mM), cyclosporine (1 µM; 0.0001 %), and either the candidate metabolic inhibitor (0.01 %, 0.001 %, 0.0001 %) or the solvent in which it was dissolved (ethanol or

38.

buffer) in 0.1 M phosphate buffer pH 7.4 (total volume of 990 μ l) were preincubated for 5 minutes at 37°C in borosilicate glass culture tubes (16 mm x 100 mm) using a reciprocal shaking water bath with a constant shaking rate of 100 rpm. Metabolic reactions were started by addition of nicotinamide adenine dinucleotide phosphate (1 mM) to give a total reaction volume of 1 ml. Reactions were conducted in triplicate and were compared to controls without nicotinamide adenine dinucleotide phosphate. Incubations were allowed to proceed for 25 minutes, and the metabolic reactions were stopped by addition of 50:50 acetonitrile:water saturated with zinc sulfate (2 ml) and 100 μ l of rotenone internal standard solution (10 μ g/ml in reagent alcohol). Samples were vortex mixed for 10 seconds, and then centrifuged for 10 minutes at 5,500 rpm. Supernatants were decanted to new culture tubes, hexane (2 ml) was added, and the mixtures were vortex mixed for 60 seconds. Hexane-washed samples were centrifuged for 5 minutes at 5,500 rpm, and then the upper hexane layer was removed by aspiration through a borosilicate glass Pasteur pipette. The remaining solution was subjected to solid-phase extraction.

Solid-phase extraction

Bond-Elut® LRC C-18 solid-phase extraction columns were fitted to a Vac Elut SPS 24 vacuum manifold (both from Varian Sample Preparation Products, Harbor City, California, USA), and then washed with 2 ml reagent alcohol followed by 2 x 2 ml distilled water. Hexane-washed supernatants above were drawn onto the washed Bond-Elut® columns by high vacuum, and then the columns were washed with 2 x 1 ml water and the samples eluted with 1 ml acetonitrile. Acetonitrile solutions were evaporated to dryness under nitrogen, and then dry samples were stoppered and stored frozen until analysis by reverse-phase high pressure liquid chromatography.

Analysis of cyclosporine and metabolites.

Reverse-phase high pressure liquid chromatographic analysis of cyclosporine and metabolites from microsomal incubation mixtures utilized a Beckman model 126 binary solvent module, a Beckman model 168 diode-array ultraviolet absorbance detector, and a Beckman model 507 autosampler with a Rheodyne model 7010 sample injection valve (100 μ l sample loop). Data collection and

analysis utilized Beckman System Gold™ Personal™ Chromatography Software loaded onto an IBM model 350 466DX2 computer.

Extracted and dried samples from incubation experiments were reconstituted in 65:35 acetonitrile:water (500 μ l), and then 100 μ l were injected onto a Beckman Ultrasphere® RP-18 analytical column (5 μ m; 4.6 mm x 250 mm) maintained at 70 °C with an Alltech Adsorbosphere® Direct-Connect™ guard-cartridge system in line with, but not directly attached to, the column. Cyclosporine and metabolites were separated using an acetonitrile-water (pH 3) solvent gradient (65 % acetonitrile x 4 minutes followed by a 1 % per minute increase to 75 % acetonitrile which was maintained for 6 minutes) and a solvent flow rate of 1 ml/minute. Cyclosporine and metabolite(s) were detected by ultraviolet absorbance at 214 nm. Rotenone internal standard eluted at 5.6 ± 0.4 minutes, the combined AM1 and AM9 (cyclosporine hydroxylated metabolites) peak eluted at 8.3 ± 0.4 minutes, and cyclosporine eluted at 16.1 ± 0.5 minutes.

Data analysis.

Peak areas for cyclosporine, the combined AM1 and AM9 cyclosporine hydroxylated metabolites peak (AMI&AM9), and the rotenone internal standard were determined from the high pressure liquid chromatography data by integration using Beckman System Gold™ Personal™ Chromatography Software. Cyclosporine metabolism was measured as the peak area ratio of the combined AMi&AM9 peak to the parent cyclosporine, and then the percentage inhibition of cyclosporine metabolism by the candidate inhibitor was determined using the following formula:

$$\text{Percentage metabolism} = 100 \times (1 - \text{inhibitor/vehicle})$$

where "inhibitor" = AMI&AM9/CyA peak area ratio from samples treated with inhibitor and "vehicle" = AMI&AM9/CyA ratio from samples treated with the vehicle (either ethanol or buffer) in which the inhibitor was dissolved for addition to the incubation mixture. Each incubation was conducted in triplicate, and inhibition data are reported as the mean \pm standard deviation (SD).

Results of Inhibition Assays

The results of these assays are set out in Tables 1-3 above, in which Table 1 shows assay results using essential oils at the stated concentrations, while Tables 2 and 3 show the results of assays using individual components of essential oils as

40.

well as the comparison values obtained using the solvents in which the essential oils were dissolved (i.e., negative controls) or ketoconazole, a previously known inhibitor. Essential oils and essential oil components are identified by Chemical Abstracts numbers (CAS#) and by GRAS identifiers as set out in volume 21 of the Code of Federal Regulations (CFR#), as well as by common and scientific names. The concentration of oil or other component used in the assay is shown in wt % in the heading of the appropriate columns, with the % inhibition being shown for the various bioenhancers (a negative value indicates that the component being tested actually reduces bioavailability). In some cases individual assays were repeated and the results are shown. "Obscured," which appears in some columns, means that metabolite peaks were not readily measurable because of the presence of unknown interfering substances in the essential oils.

All publications and patent applications mentioned in this specification are herein incorporated by reference to the same extent as if each individual publication or patent application was specifically and individually indicated to be incorporated by reference. The invention now being fully described, it will be apparent to one of ordinary skill in the art that many changes and modifications can be made thereto without departing from the spirit or scope of the appended claims.

41.

WE CLAIM:

1. A method for increasing bioavailability of an orally administered hydrophobic pharmaceutical compound, which comprises:

orally administering said pharmaceutical compound to a mammal in need of treatment with said compound concurrently with an essential oil or a component of an essential oil in an amount sufficient to provide bioavailability of said compound in the presence of said essential oil or essential oil component greater than bioavailability of said compound in the absence of said essential oil, wherein said essential oil or essential oil component has an activity of at least 10% inhibition at a concentration of 0.01 wt. % or less in an assay that measures reduced conversion of cyclosporine to hydroxylated products using an assay system containing 100 μ g human liver or enterocyte microsomes, 25 μ M cyclosporine, and an NADPH regenerating system in 100 μ l of 0.1 M sodium phosphate buffer, pH 7.4.

2. The method of Claim 1, wherein said essential oil or essential oil component is present at a concentration in the gut of said mammal equal to or greater than a concentration of said essential oil that reduces conversion of cyclosporine to hydroxylated products by 10%, compared to controls, in said assay system.

3. The method of Claim 1, wherein said amount is sufficient to produce a concentration of said essential oil or essential oil component in the lumen of the gut of said mammal of at least 0.1 times a K_i or apparent K_i of the essential oil or essential oil component as measured by said assay.

4. The method of Claim 1, wherein bioavailability in the presence of said essential oil or essential oil component is greater than bioavailability of said compound in its absence by at least 10% of the difference between bioavailability in its absence and complete oral bioavailability.

5. The method of Claim 1, wherein said pharmaceutical compound comprises an acetanilide, aminoacridine, aminoquinoline, anilide, anthracycline antibiotic,

42.

antiestrogen, benzazepine, benzhydryl compound, benzodiazepine, benzofuran, cannabinoid, cephalosporine, colchicine, cyclic peptide, dibenzazepine, digitalis glycoside, dihydropyridine, epiphodophyllotoxin, ergeline, ergot alkaloid, imidazole, isoquinoline, macrolide, naphthalene, nitrogen mustard, opioid, oxazine, oxazole, phenothiazine, phenylalkylamine, phenylpiperidine, piperazine, piperidine, polycyclic aromatic hydrocarbon, pyridine, pyridone, pyrimidine, pyrrolidine, pyrrolidinone, quinazoline, quinoline, quinone, rauwolfia alkaloid, retinoid, salicylate, steroid, stilbene, sulfone, sulfonylurea, taxol, triazole, tropane, or vinca alkaloid.

6. The method of Claim 1, wherein said essential oil comprises Allspice Berry, Amber Essence, Anise Seed, Arnica, Balsam of peru, Basil, Bay Leaf, Benzoin Gum, Bergamot, Bois de Rose (Rosewood), Cajeput, Calendula (Marigold pot), White Camphor, Caraway Seed, Cardamon, Carrot Seed, Cedarwood, Celery, German or Hungarian Chamomile, Roman or English Chamomile, Cinnamon, Citronella, Clary Sage, Clovebud, Coriander, Cumin, Cypress, Eucalyptus, Fennel, Siberian Fir needle, Frankincense (Olibanum oil), Garlic, Rose Geranium, Ginger, Grapefruit, Hyssop, Jasmine Absolute, Jojoba, Juniper Berry, Lavender, Lemon, Lemongrass, Lime, Sweet Marjoram, Mugwort, Mullein Flower, Myrrh Gum, Bigarade Neroli, Nutmeg, Bitter Orange, Sweet Orange, Oregano, Patchouly, Pennyroyal, Black Pepper, Peppermint, Petitegrain, Pine Needle, Poke Root, Rose Absolute, Rosehip Seed, Rosemary, Dalmation Sage, Sandalwood Oil, Sassafras, Spearmint, Spikenard, Spruce (Hemlock), Tangerine, Tea Tree, Thuja (Cedar leaf), Thyme, Vanilla extract, Vetivert, Wintergreen, Witch Hazel (Hamamelia) Extract, or Ylang Ylang (Cananga) Extract.

7. The method of Claim 1, wherein said essential oil or essential oil component comprises 2,6-dimethyl-2,4,6-octatriene; 4-propenylanisole; benzyl-3-phenylpropenoic acid; 1,7,7-trimethylbicyclo[2.2.1]heptan-2-ol; 2,2-Dimethyl-3-methylenebicyclo[2.2.1]heptane; 1,7,7-trimethylbicyclo[2.2.1]heptane; trans-8-methyl-n-vanillyl-6-nonenamide; 2,2,5-trimethylbicyclo[4.1.0]hept-5-ene; 5-isopropyl-2-methylphenol; p-Mentha-6,8-dien-2-ol; p-Mentha-6,8-dien-2-one; β -

43.

caryophyllene; 3-phenylpropenaldehyde; mixed geranial and neral; 3,7-dimethyl-6-octenal; 3,7-dimethyl-6-octen-1-ol; 4-allylanisole; ethyl 3-phenylpropenoic acid; 3-ethoxy-4-hydroxybenzaldehyde; 1,8-cineole; 4-allyl-2-methoxyphenol; 3,7,11-trimethyl-2,6,10-dodecatrien-1-ol; 1,3,3-trimethylbicyclo[2.2.1]heptan-2-ol; 1,3,3-trimethylbicyclo[2.2.1]heptan-2-one; trans-3, 7-dimethyl-2, 6-octadien-1-ol; trans-3,7-dimethyl-2,6-octadien-1-yl acetate; 3-methyl-2-(2-pentenyl)-2-cyclopenten-1-one;

p-Mentha-1,8-diene; 3,7-dimethyl-1,6-octadien-3-ol; 3,7-dimethyl-1,6-octadien-3-yl acetate; p-menthan-3-ol; p-menthan-3-one; methyl 2-aminobenzoate; methyl-3-oxo-2-(2-pentenyl)-cyclopentane acetate; methyl 2-hydroxybenzoate; 7-methyl-3-methylene-1,6-octadiene; cis-3,7-dimethyl-2,6-octadien-1-ol; 2,6,6-trimethylbicyclo[3.1.1]hept-2-ene; 6,6-Dimethyl-2-methylenebicyclo[3.1.1]heptane; p-Menth-4(8)-en-3-one; p-Menth-1-en-4-ol; p-Mentha-1,3-diene; p-Menth-1-en-8-ol; or 2-isopropyl-5-methylphenol.

8. A method of formulating an oral pharmaceutical composition, which comprises:

admixing a pharmaceutical compound, a pharmaceutical carrier, and an essential oil or essential oil component, said essential oil or essential oil component being present in sufficient amount to provide bioavailability of said pharmaceutical compound in the presence of said essential oil or essential oil component greater than the bioavailability of said pharmaceutical compound in the absence of said essential oil or essential oil component when said pharmaceutical composition is administered orally to an mammal.

9. The method of Claim 8, wherein said essential oil or essential oil component is present in an amount sufficient to provide a lumen concentration equal to or greater than a concentration of said essential oil or essential oil component that reduces conversion of cyclosporine to hydroxylated products by 10% in an assay system containing 250 μ g rat liver or enterocyte microsomes, 1 μ M cyclosporine, and 1 mM NADPH in 1 ml of 0.1 M sodium phosphate buffer, pH 7.4.

44.

10. The method of Claim 8, wherein said amount is sufficient to produce a concentration of said essential oil or essential oil component in the lumen of the gut of said mammal of at least 0.1 times said K_i or apparent K_i of said essential oil or essential oil component.

11. The method of Claim 8, wherein said essential oil or essential oil component is present as a counter ion of said pharmaceutical compound.

12. The method of Claim 8, wherein said essential oil or essential oil component is covalently bound to said pharmaceutical compound.

13. The method of Claim 8, wherein said pharmaceutical compound comprises an acetanilide, aminoacridine, aminoquinoline, anilide, anthracycline antibiotic, antiestrogen, benzazepine, benzhydryl compound, benzodiazapine, benzofuran, cannabinoid, cephalosporine, colchicine, cyclic peptide, dibenzazepine, digitalis glycoside, dihydropyridine, epiphodophyllotoxin, ergeline, ergot alkaloid, imidazole, isoquinoline, macrolide, naphthalene, nitrogen mustard, opioid, oxazine, oxazole, phenothiazine, phenylalkylamine, phenylpiperidine, piperazine, piperidine, polycyclic aromatic hydrocarbon, pyridine, pyridone, pyrimidine, pyrrolidine, pyrrolidinone, quinazoline, quinoline, quinone, rauwolfia alkaloid, retinoid, salicylate, steroid, stilbene, sulfone, sulfonylurea, taxol, triazole, tropane, or vinca alkaloid.

14. The method of Claim 8, wherein said essential oil comprises Allspice Berry, Amber Essense, Anise Seed, Arnica, Balsam of Peru, Basil, Bay Leaf, Benzoin Gum, Bergamot, Bois de Rose (Rosewood), Cajeput, Calendula (Marigold pot), White Camphor, Caraway Seed, Cardamon, Carrot Seed, Cedarwood, Celery, German or Hungarian Chamomile, Roman or English Chamomile, Cinnamon, Citronella, Clary Sage, Clovebud, Coriander, Cumin, Cypress, Eucalyptus, Fennel, Siberian Fir needle, Frankincense (Olibanum oil), Garlic, Rose, Geranium, Ginger, Grapefruit, Hyssop, Jasmine, Absolute, Jojoba, Juniper Berry, Lavender, Lemon, Lemongrass, Lime, Sweet Marjoram, Mugwort, Mullein

45.

Flower, Myrrh Gum, Bigarade Neroli, Nutmeg, Bitter Orange, Sweet Orange, Oregano, Patchouly, Pennyroyal, Black Pepper, Peppermint, Petitegrain, Pine Needle, Poke Root, Rose Absolute, Rosehip Seed, Rosemary, Dalmatian Sage, Sandalwood Oil, Sassafras, Spearmint, Spikenard, Spruce (Hemlock), Tangerine, Tea Tree, Thuja (Cedar leaf), Thyme, Vanilla extract, Vetivert, Wintergreen, Witch Hazel (Hamamelia) Extract, or Ylang Ylang (Cananga) Extract.

15. A pharmaceutical composition produced by the process of Claim 8.

16. A method of increasing bioavailability of the active compound of an existing oral pharmaceutical composition, which comprises:

reformulating said existing composition to provide a reformulated composition by admixing said active compound with an essential oil or essential oil component, said essential oil or essential oil component being present in sufficient amount to provide bioavailability of said pharmaceutical compound when administered in said reformulated composition greater than said bioavailability of said pharmaceutical compound when administered in said existing pharmaceutical composition.

17. The method of Claim 16, wherein said reformulated composition comprises all components present in said existing pharmaceutical composition plus said essential oil or essential oil component.

18. The method of Claim 16, wherein said reformulated composition contains less than all components present in said existing pharmaceutical composition plus said essential oil or essential oil component.

19. A reformulated pharmaceutical composition produced by the process of Claim 16.

20. A method for increasing bioavailability of a topically administered hydrophobic pharmaceutical compound, which comprises:

46.

topically administering said pharmaceutical compound to a mammal in need of treatment with said compound concurrently with an essential oil or essential oil component, said essential oil or essential oil component being present in sufficient amount to provide bioavailability of said compound in the presence of said essential oil or essential oil component greater than bioavailability of said compound in the absence of said essential oil or essential oil component, wherein said essential oil or essential oil component has an activity of at least 10% inhibition at a concentration of 0.01 wt. % or less in an assay that measures reduced conversion of cyclosporine to hydroxylated products using an assay system containing 250 μ g rat liver microsomes, 1 μ M cyclosporine, and 1 mM reduced nicotinamide adenine dinucleotide phosphate (NADPH) in 1 ml of 0.1 M sodium phosphate buffer, pH 7.4.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/09607

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61K 38/00

US CL : 424/456, 465, 449

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/456, 465, 449

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
CHEMICAL ABSTRACTSElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)
CHEMICAL ABSTRACTS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US, A, 5,284,657 (LU ET AL.) 08 February 1994, see column 4.	1-20
X, P	US, A, 5,424,289 (YANG ET AL.) 13 June 1995, see column 3.	1-20



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T

later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X

document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y

document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

&

document member of the same patent family

Date of the actual completion of the international search

12 AUGUST 1996

Date of mailing of the international search report

27 AUG 1996

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

JAMES H. REAMER

Telephone No. (703) 308-1235

Form PCT/ISA/210 (second sheet)(July 1992)*

THIS PAGE BLANK (USPTO)



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A61K 38/00		A1	(11) International Publication Number: WO 96/40192
			(43) International Publication Date: 19 December 1996 (19.12.96)
(21) International Application Number: PCT/US96/09607		(74) Agents: SACHDEVA, Gurjeev, K. et al.; Cooley Godward Castro Huddleson & Tatum, Five Palo Alto Square, 3000 El Camino Real, Palo Alto, CA 94306-2155 (US).	
(22) International Filing Date: 7 June 1996 (07.06.96)			
(30) Priority Data: 08/478,207 7 June 1995 (07.06.95) US 08/486,186 7 June 1995 (07.06.95) US		(81) Designated States: CA, JP, US, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(60) Parent Application or Grant (63) Related by Continuation US 08/478,207 Filed on 07 June 1995 (07.06.95) US 08/486,186 Filed on 07 June 1995 (07.06.95)		Published With international search report.	
(71) Applicant (for all designated States except US): AVMAX, INC. [US/US]; 31 Acacia Avenue, Belvedere, CA 94920 (US).			
(72) Inventors; and (75) Inventors/Applicants (for US only): BENET, Leslie, Z. [US/US]; 53 Beach Road, Belvedere, CA 94920 (US). WACHER, Vincent, J. [AU/US]; Apartment 419, 180 Brannon Street, San Francisco, CA 94107 (US). BENET, Reed, M. [US/US]; 31 Acacia Avenue, Belvedere, CA 94920 (US).			
(54) Title: USE OF ESSENTIAL OILS TO INCREASE BIOAVAILABILITY OF ORAL PHARMACEUTICAL COMPOUNDS			
(57) Abstract A method for increasing bioavailability of an orally administered hydrophobic pharmaceutical compound, which comprises orally administering the pharmaceutical compound to a mammal in need of treatment with the compound concurrently with an essential oil or essential oil component in an amount sufficient to provide bioavailability of the compound in the presence of the essential oil or essential oil component greater than bioavailability of the compound in the absence of the essential oil or essential oil component, wherein the essential oil or essential oil component has an activity of at least 10% inhibition at a concentration 0.01 wt.% or less in an assay that measures reduced conversion of cyclosporine to hydroxylated products using an assay system containing 250 µg rat liver microsomes, 1 µM cyclosporine and 1 mM reduced nicotinamide adenine dinucleotide phosphate (NADPH) in 1 ml of 0.1 M sodium phosphate buffer, pH 7.4.			

* (Referred to in PCT Gazette No. 09/1997, Section II)

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TL	Timor
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam